

# Surveillance Audit Report for AD 2000 HP0 and PED 2014/68/EU, annex I, chapter 3.1.5



|                            |                                                                                                                                  |
|----------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| Report-No.:                | 324169                                                                                                                           |
| Company:                   | FineForming Precision Metals Co., Ltd.                                                                                           |
| Manufacturing Site:        | No.511 Fengnan Rd, Weitang Town, Xiangcheng District, 215134, Suzhou City, Jiangsu Province, P.R. China                          |
| Inspection Directive:      | <input checked="" type="checkbox"/> AD2000 HP0 Para 4.1; <input checked="" type="checkbox"/> 2014/68/EU, annex I, chapter 3.1.5; |
| Date of Certificate Award: | Jun. 28, 2023                                                                                                                    |
| Scope of the Certificate:  | Authorized personnel for marking transfer of materials                                                                           |
| Audit Date:                | Jun. 11, 2025                                                                                                                    |
| Auditor / Inspector:       | Mr. Liu Shiwei                                                                                                                   |

## 1. Assessment of administrative, organisational and technical requirements:

| Nr. | FF                                  | PF                       | NF                       | NA                       |                                                                                                                                                                                                                                                                    | Supp lem.                |
|-----|-------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 1.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Examination of Quality Assurance Measures, e.g. ISO9001:2008 certification, organization structure, documentation, statistic, corrective action, measuring and controlling tools used in manufacturing procedure, explanation of new AD 2000 regulations by TÜV... | <input type="checkbox"/> |
| 2.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | The approvals of applied manufacturing procedures are not changed                                                                                                                                                                                                  | <input type="checkbox"/> |
| 3.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | There have been no changes in application and using of production-methods and manufacture-equipment                                                                                                                                                                | <input type="checkbox"/> |
| 4.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | The authorised personnel for marking transfer of materials continues to be valid                                                                                                                                                                                   | <input type="checkbox"/> |
| 5.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Check of several 3.1 certificates satisfy specified material standards                                                                                                                                                                                             | <input type="checkbox"/> |
| 6.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Transfer of identification markings in production processes is given at each stage of manufacture                                                                                                                                                                  | <input type="checkbox"/> |
| 7.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | The appropriate methods to ensure traceability of materials are still applied, checked during plant tour                                                                                                                                                           | <input type="checkbox"/> |
| 8.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Complying with the requirements the products' marking and labelling is done                                                                                                                                                                                        | <input type="checkbox"/> |
| 9.  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Storage and marking of materials cannot be mistaken or confused, and comply with the requirement of storage procedure                                                                                                                                              | <input type="checkbox"/> |
| 10. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | Check records of items of which the marking has been transferred, showing clearly all relevant aspects (material, dimensions, classification, old and new identification, associated material test certificates and the responsible employee)                      | <input type="checkbox"/> |

FF = fully fulfilled; PF = partially fulfilled (see supplemental sheet); NF = not fulfilled (see supplemental sheet); NA = not applicable

## 2. Assessment of the technical object

|                                     |                                                                                                       |
|-------------------------------------|-------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/>            | During the visit, marking transfer of materials is witnessed by auditor/ inspector.                   |
| <input checked="" type="checkbox"/> | During the visit, marking transfer of materials is not performed under witness of auditor/ inspector. |

- 3. Result after performance of audit:** ☒ The Continuation of authorised personnel for marking transfer is recommended.  
☐ Corrective action for minor non-conformity shall be checked.  
☐ Repeat audit for major non-conformity

Remark: If any more report, supplemental sheet shall be used.

Expert

Liu Shiwei

Place: Shanghai office, Date: Jun 03, 2025  
TÜV SÜD Certification and Testing  
(China) Co., Ltd. Shanghai Branch

Annex: No of Supplement Sheets: N/A